

07-16-01

A

UTILITY
PATENT APPLICATION
TRANSMITTAL

new non-provisional applications under 37 CFR
1.53(b))

Attorney Docket No. 097-509-5081

First Named Inventor or Application Identifier

Yasuhitao Inagaki

Express Mail Label No: EL834554663US

TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

J1040 U.S. PTO
09/905662

07/13/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application
contents.

ACCOMPANYING APPLICATION PARTS

1. ☒ Specification Total Pages 20
2. ☒ Drawing(s) (35USC 113) Total Pages 7
3. ☒ Declaration and Power of Attorney Total Pages 2
a. ☒ Unexecuted(original or copy)
b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14
completed)

[Note Box 4 Below]

i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting
inventor(s) named in the prior
application, see 37 CFR 1.63(d)(2) and 1.33(b).

4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which
copy of the oath or declaration is supplied under Box 3b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document to follow (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application,
Statement(s) Status still proper and desired
12. ☒ Certified copies of Japanese priority documents Nos.
P2000-214974 filed July 14, 2000, and P2000-240134 filed
August 8, 2000.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

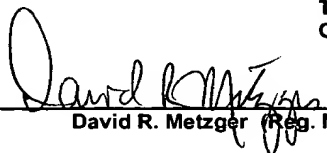
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS	16		\$18.00	0.00
INDEPENDENT CLAIMS	2		80.00	0.00
ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			270.00	0.00
			TOTAL FEE	\$710.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this
application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$710.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
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Washington, D.C.

July 13, 2001

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
"SUBSTRATE CLEANING METHOD AND SUBSTRATE CLEANING
APPARATUS"
Applicant(s) Yasuhito Inagaki, et al.
Attorney Docket No. 09792909-5081

Dear Sir

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,
including 16 claims (2 independent), 7 sheets of drawings (Figs. 1-11) and the filing fee of
\$710.00 on behalf of

YASUHITO INAGAKI, MINEO SHIMIZU and YOSHIHIRO FUJITANI

and request that the application be assigned a serial number and filing date pursuant to the
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:



David R. Metzger

Enclosures

07-16-01
5C#682

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07/13/01
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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: July 13, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Yasuhito Inagaki, et al.

Attorney Docket No. 09792909-5081

\$710.00 filing fee (16 claims - 2 independent)

Drawings (7 sheets - Figs. 1-11)


Unexecuted Declaration

Letter Under Rule 37

Preliminary Amendment

Certified copy of Japanese priority documents Nos. P2000-214974 and P2000-240134

Return postcard



Signature of Person Mailing Application and Fees

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